

10-25-04

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 09 / 287,478 Confirmation No. 6350  
Applicant : Christian S. Rode  
Filed : April 6, 1999  
Provisional Appl. Filed : 60 / 080,905, 4/06/98  
TC./A.U. : 2123  
Examiner : Thai Phan

Docket No. : RCI001v1

Honorable Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT

6 "No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this statement after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in Section 1.56(c) more than three months prior to the filing (5/14/2004) of the information disclosure statement. These new patents were found during searches done in April 2004."

U.S. Patent 5,757,925 (Faybishenko), filed Jul. 23, 1996, teaches methods associated with a secure platform-independent application server with a GUI client using Java, ActiveX or some other intelligent client technology. The present invention can use these client technologies as well as HTML in the presentation of its client interfaces, moreover in a manner that does not require continuous server interaction. It is compatible with, but does not require, a secure login procedure, allowing use by unregistered users.

U.S. Patent 5,815,683 (Vogler), filed Nov. 5, 1996, teaches an access facilitator for connecting remote clients to a tool server. Vogler does not disclose a session ID or "Unique Identifier" separate from the internetworking address of the client and requires a similar login procedure as Faybishenko with attendant limitations on utility overcome by the present invention.

U.S. Patent 5,907,621 (Bachman, et. al), filed Nov. 15, 1996 teaches the use of a page-embedded secure token (session key) to maintain session continuity. This is similar to, but not the same as the "verifiable Unique Identifier" mechanism described in the present invention.

Copy of original SB/08a.

PTO/SB/08a (08-03)  
Approved for use through 07/31/2008. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 1

**Complete if Known**

Application Number	09/297,476
Filing Date	04/06/1999
First Named Inventor	CHRISTIAN S. ROOE
Art Unit	2123
Examiner Name	THAI PHAN
Attorney Docket Number	RC100111

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.†	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code‡ (if known)			
		US- 5,907,621	05-25-1999	BACHMAN, et al	
		US- 5,757,925	05-26-1998	FANBISHENKO.	
		US- 5,915,682	09-29-1999	VOGLER, JEE B.	
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	†
		Country Code* Number † Kind Code* (if known)				

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. † Applicant's unique citation designation number (optional). ‡ See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. § Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ¶ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. § Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ¶ Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. §END TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



United States Patent and Trademark Office  
Washington, D.C. 20231  
10/22/2004

Dear Sir / Madam:

Please find attached a statement belatedly filed to accompany an information disclosure (PTO/SB/08a) filed 5/6/2004 for pending application 09/287,478, per 37CFR1.97(c)(1) and 37CFR1.97(e)(2). A good faith attempt was made to comply with Section 1.98, but the applicant was unaware of the necessity of an accompanying statement. The applicant requests that this additional time be granted to enable full compliance.

The disclosure statement was originally filed in response to an office action marked NON-FINAL in applicant's receipt and in the response summary, but procedurally entered as FINAL and then reversed.

Thank you,

A handwritten signature in black ink, appearing to read "Chris Rode".

Chris Rode  
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